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2 **HEAD COOLING PRIOR TO EXERCISE IN THE HEAT DOES NOT IMPROVE**

3 **COGNITIVE PERFORMANCE**

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10 **RUNNING HEAD:** Head cooling and cognitive performance

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**1 ABSTRACT:**

2 This study investigated the effectiveness of head cooling on cognitive performance after  
3 30 min and 60 min of running in the heat. Ten moderately-trained, non-heat-acclimated,  
4 male endurance athletes (mean age:  $22 \pm 6.6$  y; height:  $177.7 \pm 9.7$  cm; body-mass:  
5  $75.7 \pm 15.6$  kg;  $\dot{V}O_{2\text{peak}}$ :  $51.6 \pm 4.31$  mL<sup>-1</sup>·kg<sup>-1</sup>·min) volunteered for this study. Participants  
6 performed two experimental trials: head cooling versus no-cooling (within-subjects factor  
7 with trial order randomized). For each trial, participants wore a head-cooling cap for 15  
8 min with the cap either cooled to 0°C (HC) or not cooled (22°C; CON). Participants then  
9 completed 2 × 30 min running efforts on a treadmill at 70%  $\dot{V}O_{2\text{peak}}$  in hot conditions  
10 (35°C, 70% relative humidity), with a 10 min rest between efforts. Working memory was  
11 assessed using an operation span (OSPAN) task immediately prior to the 15 min  
12 cooling/no-cooling period (22°C, 35% RH) and again after 30 min and 60 min of running  
13 in the heat. Numerous physiological variables, including gastrointestinal core temperature  
14 ( $T_c$ ) were assessed over the protocol. Scores for OSPAN were similar between trials,  
15 with no interaction effect or main effects for time and trial found ( $p = 0.58$ ,  $p = 0.67$ ,  $p =$   
16  $0.54$ , respectively). Forehead temperature following precooling was lower in HC  
17 ( $32.4 \pm 1.6^\circ\text{C}$ ) compared with CON ( $34.5 \pm 1.1^\circ\text{C}$ ) ( $p = 0.01$ ), however, no differences were  
18 seen in  $T_c$ , skin temperature, heart rate and ratings of perceived exertion between HC and  
19 CON trials at any time point assessed ( $p > 0.05$ ). In conclusion, despite HC reducing  
20 forehead temperature prior to exercise, it did not significantly improve cognitive  
21 performance during (half-time break) or after subsequent exercise in hot environmental  
22 conditions, compared to a no cooling control.

23 **KEYWORDS:** running, precooling, thermoregulation, cognitive execution

## 1 INTRODUCTION

2 Resting core temperature ( $T_c$ ) in humans is  $\sim 37^\circ\text{C}$  (Casa, 1999), while a  $T_c$  of  
3  $38.3^\circ\text{C}$  (proposed to be the point where hyperthermia begins) is associated with thermal  
4 strain and impaired physical performance (Faulds and Meekings, 2013). When  $T_c$   
5 increases during exercise, particularly when performed in the heat, the temperature of  
6 arterial blood flow to the brain also increases, placing a thermal load on the brain, which  
7 in turn may result in central fatigue (Nybo and Nielsen, 2001). Furthermore, an increase  
8 in  $T_c$  during prolonged exercise in the heat results in a concomitant reduction in cerebral  
9 blood flow to the brain. This occurs due to the need for the body to direct blood flow to  
10 the periphery for cooling purposes (Nybo and Nielsen, 2001; Vanden Hoek et al., 2004),  
11 with blood flow to the brain further compromised if dehydration occurs as a result of  
12 sweating combined with minimal or no fluid replacement. Consequently, during exercise  
13 in the heat, less oxygen, glucose and other nutrients are supplied to the brain, which along  
14 with an already increased brain temperature is likely to have an adverse impact on  
15 cognitive function (Falkowska et al., 2015). This is an important issue for types of  
16 exercise that require strategic thinking or extended periods of concentration (i.e., team  
17 sports, cycling road-race events, etc.), as athletes need to be able to maintain a high level  
18 of cognitive function to assist in correct decision-making whilst exercising (Smits et al.,  
19 2014).

20 Previous studies by Hocking et al. (2001) and Hancock and Vasmatazidis (2003)  
21 noted that the deterioration of cognitive performance in the heat is dependent on the  
22 severity of the heat strain and the complexity of the task. Notably,  $T_c$  values  $>38.5^\circ\text{C}$   
23 have been found to impair complex cognitive functioning in respect to relatively difficult

1 tasks such as those that require higher-level decision-making and problem-solving  
2 (Hancock and Vasmatazidis, 2003; Schmit et al., 2017). Such complex cognitive functions  
3 are highly dependent on working memory (Conway et al., 2007). According to Conway  
4 et al. (2007), working memory is a severely capacity-limited, short-term storage and  
5 processing system that is often termed the ‘engine of cognition’ (Conway et al., 2007).  
6 Previous studies have reported impaired complex cognitive performance (working  
7 memory) when participants either rested or exercised (walking, cycling or running) in hot  
8 environmental conditions (Gaoua et al., 2011; Racinais et al., 2008). As both studies by  
9 Racinais et al. (2008) and Gaoua et al. (2011) involved low-intensity exercise and a rest  
10 period, it is likely that higher-intensity exercise in the heat would result in greater thermal  
11 strain and consequently greater deterioration of complex cognitive function.

12 In order to address the negative effects of exercise in the heat, early research  
13 focused on reducing  $T_c$  using various precooling methods, which led to improved  
14 psychological parameters and physical performance, compared to no-cooling (Booth et  
15 al., 1997; Ihsan et al., 2010). Furthermore, precooling using ice ingestion (proposed to  
16 cool the carotid blood flow to the brain due to the close proximity of ice placed in the  
17 mouth and swallowed to these arteries) was found to result in lower forehead temperature  
18 ( $T_h$ ) prior to exercise (and during), as well as lower thermal sensation during subsequent  
19 exercise in the heat (60 min cycling at 55%  $\dot{V}O_{2peak}$ ;  $\sim 35^\circ\text{C}$  and 50% relative humidity:  
20 RH), compared to no cooling (Saldaris et al., 2020). Other researchers have focused on  
21 cooling strategies designed to cool the brain region, with the aim to improve complex  
22 cognitive function during exercise in the heat (Gaoua et al., 2011; Ando et al., 2015; Lee  
23 at al., 2014; Onitsuka et al., 2015; Saldaris et al., 2020). In these studies, researchers  
24 typically use skin thermistors placed on the forehead to indirectly assess the temperature

1 of the brain (Onitsuka et al., 2015; Saldaris et al., 2019, Saldaris et al., 2020). Notably,  
2 the use of either neck cooling (Lee et al., 2014) or the application of cold packs to the  
3 head (Racinais et al., 2008; Gaoua et al., 2011) during exercise and rest in the heat have  
4 been reported to improve working memory function (spatial span test) compared to no-  
5 cooling control trials. Of relevance, Racinais et al. (2008) and Gaoua et al. (2011)  
6 reported that  $T_c$  fell by  $\sim 0.6^\circ\text{C}$  and  $T_h$  by  $\sim 1.9 - 2.0^\circ\text{C}$  after head cooling (Racinais et al.,  
7 2008; Gaoua et al., 2011). Nonetheless, the effects of head cooling on cognitive function  
8 remain equivocal, as Ando et al. (2015) found that neck cooling and neck fanning  
9 (proposed to cool blood to the brain) during cycling in the heat ( $35^\circ\text{C}$ , 70% RH) did not  
10 improve working memory performance (spatial delayed response task) or inhibitory  
11 control (go/no-go task), compared to a no-cooling control.

12 As there has been a minimal investigation into the effects of cooling on complex  
13 cognitive performance associated with exercise in the heat, further studies are warranted  
14 due to the many sporting events where decision making is paramount to success.  
15 Therefore, this study aimed to investigate whether 15 min of head cooling (HC) using a  
16 head-cooling cap applied prior to exercise in the heat could improve subsequent complex  
17 cognitive performance compared to a no-cooling control condition (CON). We  
18 hypothesized that HC would reduce  $T_h$ , as well as  $T_c$  and that this would result in  
19 improved subsequent cognitive performance assessed after 30 min (midway break) and  
20 60 min of running performed in the heat, compared to a no-cooling control (CON).

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## 1 METHODS

### 2 *Participants*

3 Ten moderately-trained, non-heat-acclimated, male endurance athletes, (age  
4  $22\pm 6.6$  y; height  $177.7\pm 9.7$  cm; body-mass  $75.7\pm 15.6$  kg;  $\dot{V}O_{2\text{peak}}$   $51.6\pm 4.3$  mL<sup>-1</sup>·kg<sup>-1</sup>·min)  
5 volunteered to participate in this study. All participants reported training for a  
6 minimum of  $4 \times 60$  min sessions each week. Based on a study by Barr et al. (2009), a G-  
7 power analysis (Faul et al., 2009) calculation showed that ten participants were needed  
8 for this study (effect size of 1.4 at an alpha level of 0.05, power of 0.80). Ethical approval  
9 was granted by the Human Research Ethics Office of The University of Western  
10 Australia. All participants gave informed written consent and completed the Adult Pre-  
11 exercise Screening System questionnaire (Norton et al., 2012) prior to participation in  
12 experimental protocols.

13

### 14 *Preliminary session*

15 Before the trial, body-mass (Sauter Multi-Range scales; Model ED3300, Ebingen,  
16 Germany) and height (Seca, Hamburg, Germany) were measured. Participants then  
17 completed a graded  $\dot{V}O_{2\text{peak}}$  test on a motorized treadmill (H/P Cosmos, Quasar 3p  
18 Medical treadmill, Nussdorf-Traunstein, Germany) to determine aerobic capacity. A  
19 metabolic cart incorporating applied electrochemistry oxygen (SOV-S3A11) and carbon  
20 dioxide (COV CD-3A) analyzers (Pittsburgh, PA, USA) and ventilometer (VacuMed,  
21 Ventura, CA, USA) were used, with calibration performed prior to each test. The test  
22 began at  $8 \text{ km}\cdot\text{h}^{-1}$  with a 1% incline, then increased by  $1 \text{ km}\cdot\text{h}^{-1}$  every 3 min (with each

1 stage separated by 1 min of recovery) until volitional exhaustion. Oxygen uptake ( $\dot{V}O_2$ ),  
2 heart rate and ratings of perceived exertion (RPE) were measured at the end of every  
3 stage. Running speed during the subsequent experimental trials was performed at a speed  
4 eliciting 70% of each participant's  $\dot{V}O_{2peak}$ . Following the  $\dot{V}O_{2peak}$  test, a familiarization  
5 session was completed, which required participants to complete a cognitive test  
6 (Automated Operation Span Task: OSPAN), which was installed on a laptop (HP  
7 Probook 440 G5, California, USA). The cognitive test was performed prior to wearing a  
8 head-cooling cap (Arctic Heat Pty Ltd, Gold Coast, Australia) for 15 min, with this  
9 followed by 2 x 30 min running bouts (at 70%  $\dot{V}O_{2peak}$ ), on a treadmill in the heat  
10 chamber (35°C, 70% RH), with cognitive performance assessed again after each exercise  
11 bout.

12

### 13 *Experimental design*

14 In the 24 h before the first trial, exercise and food diaries were completed and  
15 participants were required to follow the same diet and physical activities prior to  
16 subsequent trials. Strenuous exercise, alcohol and caffeine were also avoided for 24 h  
17 prior to testing.

18 Following the familiarization session, two experimental trials were completed in  
19 a randomized order. The trials involved participants wearing a head-cooling cap that was  
20 either cooled to ~0°C or kept at room temperature (~22°C) prior to running in hot, humid  
21 conditions (35°C, 70% RH). Both trials were held at the same time of day, seven days  
22 apart. Participants ingested a telemetry capsule core body temperature sensor

1 (CorTemp® Ingestible Core Body Temperature Sensor, HQ Inc., Palmetto, FL, USA) 8  
2 h prior to each experimental session to enable measurement of gastrointestinal (core)  
3 temperature.

4

#### 5 *Experimental protocol*

6 On arrival to the laboratory, a mid-stream urine sample (1 ml) was collected to  
7 determine urine specific gravity (USG) using the refractometry method, in order to assess  
8 pre-exercise hydration status ( $\sim 1.016 \pm 0.01$  nmol/d), with no participants found to be  
9 hypohydrated (USG > 1.020, Volpe, Poule & Bland, 2009). A heart-rate monitor (Polar  
10 RS400, Kempele, Finland) was fitted to the participant's chest, and skin thermistors (Skin  
11 Sensor SST-1, Physitemp Instruments Inc, Clifton, NJ, USA) were taped (Fixomull  
12 Stretch Tape, BSN Medical GmbH, Hamburg, Germany) to the sternum (level of the  
13 second rib), left mid-anterior forearm, left mid-posterior calf and forehead to measure  
14 skin temperature ( $T_{sk}$ ) via a computerized program (DASYLab Light, National  
15 Instruments, Ireland Resources Ltd, Dublin, Ireland). Mean  $T_{sk}$  was measured using the  
16 formula described by Burton (1935):  $T_{sk} = (0.5 \times T_{sternum}) + (0.14 \times T_{forearm}) + (0.36 \times$   
17  $T_{calf})$ . A baseline cognitive test (OSPAN) was performed on arrival to the laboratory  
18 (22°C, 35% RH), with this followed by 15 min of cooling or no-cooling wearing the head-  
19 cooling cap in a seated position whilst resting (0°C or 22°C). Participants then entered a  
20 custom-built 40 m<sup>3</sup> climate chamber (35°C, 70% RH) and performed a 5 min warm-up at  
21 50% of  $\dot{V}O_{2peak}$ , followed by steady-state running at 70% of  $\dot{V}O_{2peak}$  for 2 × 30 min on  
22 the treadmill with a 10 min break between each 30 min running bout. The endurance  
23 running protocol was performed at a constant relative speed so to reduce the number of

1 possible cofounders introduced when attempting to determine the effect of cooling on  
2 cognitive performance. The cognitive test was re-administered after completing the first  
3 bout of the exercise protocol (30 min mark) and immediately after exercise, with this test  
4 performed in the climate chamber. Participants ingested 100 ml (~22°C) of tap water  
5 every 10 min during exercise. Every 5 min,  $T_c$ ,  $T_h$ ,  $T_{sk}$ , heart rate and RPE (Borg scale  
6 6-10: Borg, 1982) were measured throughout the trial.

7

### 8 *Head-cooling cap*

9 A commercially available head-cooling cap (Arctic Heat Pty Ltd, Gold Coast,  
10 Australia; Figure 1) made from polyester material with four crystal-filled pockets were  
11 used for this study. The device covered the head from the forehead area to the nape of  
12 the neck (front to back). The head-cooling cap was secured with polyester laces that had  
13 an inbuilt wicking effect. Before the experimental trial, the headgear was soaked in water  
14 for 15 min to activate the crystals into gel form. It was then kept in a freezer at -10°C for  
15 at least 4 h. Five min before donning the headgear, it was removed from the fridge and  
16 placed in a cold box to maintain the temperature. The average temperature of the  
17 headgear after cooling was  $-0.01 \pm 0.1^\circ\text{C}$ .

18

### 19 *Cognitive test*

20 The OSPAN task (Unsworth et al., 2005) is a computerized cognitive test that was  
21 administered using Inquisit 5 software (Inquisit 5Lab, Millisecond Software, Seattle,  
22 USA) and took ~10 min to complete. The OSPAN is a complex cognitive task designed

1 to assess working memory capacity. It requires participants to memorize sequences of  
2 letters in order, whereby presentation of each to-be-remembered letter is interleaved with  
3 a secondary processing task, which requires participants to judge a mathematical equation  
4 (e.g.,  $9*2 - 9 = 8$ ) as being either correct or incorrect. Set sizes ranged from 3-7, with  
5 three trials per set size (i.e., 15 trials total). In total, there were 75 letters and 75 math  
6 problems to be solved. The order of set sizes was randomly and automatically set by the  
7 software for each session. The outcome measure was the sum of the total number of  
8 correct answers in the secondary task, with a total maximum mark of 75 (Bayliss et al.,  
9 2003). This test has previously been used in studies to test cognitive performance in  
10 education and work environments (Kraus and Porubanova, 2015; Miller et al., 2018) with  
11 this task having an internal consistency of  $\alpha = 0.78$  and test-retest reliability of  $r = 0.83$   
12 (Unsworth et al., 2005).

13

#### 14 *Statistical analyses*

15 All statistical data were analyzed using the IBM Statistical Package for Social  
16 Sciences version 23.0 (SPSS Inc, Chicago, IL, USA); descriptive statistics are presented  
17 as mean  $\pm$  standard deviation unless otherwise stated. The data was assessed and met  
18 standards for normality (Shapiro-Wilk test) and sphericity (Mauchly's test). Two-way,  
19 repeated-measures ANOVAs were performed on the data to test for interaction and  
20 condition differences. Statistical significance was set at  $p \leq 0.05$ . Where appropriate,  
21 posthoc comparisons using Bonferroni adjustments were conducted. Furthermore, effect  
22 sizes (Cohen's  $d$ ) were calculated for all variables, with only moderate (0.5-0.79) and  
23 large ( $> 0.8$ ) effect sizes and associated 95% confidence intervals (CI) reported if found.

## 1 RESULTS

2           There were no differences in temperature ( $34.5\pm 0.8^{\circ}\text{C}$ ) and humidity ( $69.3\pm 3.2\%$   
3 RH) in the environmental chamber between trials ( $p = 0.75$  and  $p = 0.82$ , respectively).

4

### 5 *The Automated Operation Span Task*

6           There was no interaction effect for OSPAN absolute scores ( $p = 0.58$ ), nor was there a  
7 main effect between trials ( $p = 0.67$ ) or for time ( $p = 0.54$ ). A moderate effect size was  
8 determined for the HC trial over time ( $d=0.51$ , 0.42 to 1.35 95% CI; Table 1).

9

### 10 *Forehead temperature*

11           There was an interaction effect for  $T_h$  values ( $p < 0.01$ ) and a significant main  
12 effect for time ( $p < 0.01$ ), with this supported by large ES for both trials (HC:  $d=8.41$ , 5.2  
13 to 10.33 95% CI; CON:  $d=10.38$ , 6.48 to 12.67 95% CI). Precooling for 15 min  
14 significantly lowered  $T_h$  from  $34.5\pm 0.4^{\circ}\text{C}$  to  $32.4\pm 1.6^{\circ}\text{C}$  ( $p < 0.01$ ), with this supported  
15 by a large ES in the HC trial ( $d=1.8$ , 0.65 to 2.68 95% CI). Furthermore,  $T_h$  in the HC  
16 trial was significantly lower than CON immediately following cooling ( $p < 0.01$ ).  
17 Notably,  $T_h$  was similar at the start of the exercise for both trials (CON:  $35.9\pm 0.5^{\circ}\text{C}$ ; HC:  
18  $35.7\pm 0.3^{\circ}\text{C}$ ) and increased in a similar manner over the exercise protocol, with results  
19 approaching significance ( $p = 0.08$ ). Additionally, while there was no main effect found  
20 between trials ( $p = 0.28$ ), large ES suggested a tendency for lower  $T_h$  values in the HC  
21 trial compared to CON at every time point during the cooling period (min 5,  $d=2.12$ , 0.89

1 to 3.02 95% CI; min 10,  $d=3.05$ , 1.58 to 4.05 95% CI; min 15  $d=4.4$ , 2.54 to 5.61 95%  
2 CI; Figure 2).

3

#### 4 *Core and skin temperature*

5 While there was no interaction effect ( $p = 0.84$ ) or main effect for trial ( $p = 0.28$ ),  
6 mean  $T_c$  increased progressively across the protocol, with this supported by a main effect  
7 for time ( $p < 0.01$ ), as well as large ES (CON:  $d=15.30$ , 9.66 to 18.58 95% CI; HC:  
8  $d=6.52$ , 3.96 to 8.07 95% CI; Figure 3). Further, in respect to  $T_{sk}$  responses, there was  
9 no interaction effect ( $p = 0.09$ ) nor main effect for trial ( $p = 0.81$ ). However, the main  
10 effect for time approached significance ( $p = 0.06$ ), with this supported by large ES over  
11 time for both trials, (CON:  $d=3.79$ , 2.1 to 4.89 95% CI; HC:  $d=4.89$ , 2.86 to 6.16 95%  
12 CI; Figure 4).

13

#### 14 *Heart rate and rating of perceived exertion*

15 There was no interaction effect for heart rate (bpm) ( $p = 0.32$ ), nor was there a  
16 main effect for trial ( $p = 0.27$ ). Heart rate values were similar between trials prior to  
17 exercise (CON:  $60 \pm 13$ ; HC:  $59 \pm 10$  bpm), and at the end of exercise (CON:  $175 \pm 10$ ; HC:  
18  $176 \pm 6$  bpm). Notably, there was a main effect for heart rate over time ( $p < 0.01$ ), with  
19 this supported by a large ES found for both trials (CON:  $d=9.92$ , 6.18 to 12.12 95% CI;  
20 HC:  $d=14.19$ , 8.94 to 17.24 95% CI). A similar pattern was observed for RPE, where  
21 values were similar for both trials at the start of exercise (CON:  $7 \pm 1$ ; HC:  $7 \pm 1$ ) and the  
22 end of exercise (CON:  $18 \pm 2$ ; HC:  $18 \pm 2$ ). While the interaction effect for RPE approached  
23 significance ( $p = 0.07$ ), there was no significant main effect for trial found ( $p = 0.19$ ).

1 There was however, a main effect for time ( $p < 0.01$ ), with this supported by a large ES  
2 for both trials (CON,  $d=6.96$ , 4.25 to 8.95 95% CI; HC,  $d=6.96$ , 4.25 to 8.95 95% CI).

3

#### 4 **DISCUSSION**

5 This study aimed to investigate the effect of precooling using a head-cooling cap  
6 on complex cognitive performance performed midway (during a rest break) and after 60  
7 min of endurance running in the heat. The primary finding of this study was that while  
8 there was a tendency for improvement in OSPAN performance over time (moderate effect  
9 size), there were no significant differences in scores between trials for any time point  
10 assessed. Further, 15 min of wearing a cooling cap resulted in  $T_h$  being lower ( $\sim 2.1^\circ\text{C}$ )  
11 compared to baseline values, as well as being significantly different between trials  
12 immediately after cooling, however values were similar during the exercise protocol.

13 Thermal strain, where  $T_c$  is  $\geq 38.5^\circ\text{C}$ , has been found to impair complex cognitive  
14 performance (Schmit et al., 2017). In the current study, we were unable to determine  
15 whether cognitive performance was affected during and after exercise in the heat as no  
16 thermoneutral trial was performed to assess this effect. While initial/baseline cognitive  
17 performance was assessed in thermoneutral conditions ( $22^\circ\text{C}$ , 35% RH), this assessment  
18 was performed while participants were at rest with no prior exercise in heat performed.  
19 Nonetheless, it was hypothesized that cooling applied to the head prior to exercise  
20 performed in the heat would reduce the temperature of blood flow to the brain, which in  
21 turn would result in better performance on the OSPAN task when compared to a no-  
22 cooling trial also performed in the heat. In the current study, the lack of significant  
23 difference between trials with respect to OSPAN performance is most likely due to similar

1  $T_h$  and  $T_c$  values recorded for both trials at all-time points during the exercise protocol.  
2 Further, similar heart rate values recorded over the course of the protocol for both trials,  
3 suggest that heat strain was similar between trials.

4         Results for cognitive performance here are similar to those described by Ando et  
5 al. (2015) who assessed working memory (spatial delayed response task) and executive  
6 function (go/no-go task) in participants during cycling (10 min with heart rate maintained  
7 at 160 beats·min<sup>-1</sup>) in hot and humid ambient conditions (35°C, 70% RH). These  
8 researchers reported no differences in either cognitive test between a cooling (neck  
9 cooling with a wet towel, 21°C and fanning of the back of the neck; both performed  
10 throughout the cycling protocol) and a no-cooling trial in the heat. Unfortunately, neither  
11  $T_c$  nor  $T_h$  were assessed by Ando et al. (2015) meaning that it cannot be determined  
12 whether  $T_c$  was  $\geq 38.5^\circ\text{C}$ : a level reported to result in impaired complex cognitive  
13 performance (Hancock and Vasmatazidis, 2003). While the cooling methods in the study  
14 by Ando et al. (2015) were different to those used in the current study, the rationale for  
15 cooling the head whilst exercising in the heat was similar.

16         In contrast, Racinais et al. (2008) assessed complex cognitive performance  
17 (spatial span task) in three different environmental conditions: control/no-cooling (20°C,  
18 40% RH; peak  $T_c$  ~37°C), hot/no-cooling (50°C, 50% RH; peak  $T_c$  ~39°C) and  
19 hot/cooling (50°C, 50% RH; peak  $T_c$  ~38°C). The cooling trial involved three cold packs  
20 applied to the head and one pack to the back of the neck (frozen; -14°C), with packs  
21 replaced every 20 min throughout the entire exercise/rest protocol. Upon entering the  
22 chamber, participants performed 10-15 min of walking at 3-5 km·h<sup>-1</sup> (duration based on  
23 the participant's fitness level) followed by rest for 45-50 min with total exercise/rest time

1 being 60 min. Following this, the cognitive test was performed in the same environmental  
2 conditions for each trial. Racinais et al. (2008) reported that cognitive performance  
3 (spatial span test) was significantly lower/impaired ( $p < 0.05$ ) in hot/no cooling (peak  $T_c$ :  
4  $\sim 38.9^\circ\text{C}$ ) compared to control/no cooling (peak  $T_c$ :  $\sim 37^\circ\text{C}$ ) and hot/cooling conditions  
5 (peak  $T_c$ :  $\sim 38.1^\circ\text{C}$ ), where results between control/no cooling and hot/cooling were  
6 similar to each other. These researchers surmised that cooling the head during exercise  
7 in the heat helped prevent hyperthermia ( $T_c \geq 38.5^\circ\text{C}$ ) and consequently improved  
8 cognitive function. An issue with this study is that this form of head cooling is not  
9 practical in a real sporting world scenario.

10         These results by Racinais et al. (2008) were supported by Gaoua et al. (2011) who  
11 performed a similar experimental study protocol (three trials using the same  
12 environmental conditions and the same exercise/rest and cooling protocols with cognitive  
13 performance assessed following exercise/rest). Gaoua et al. (2011) observed a significant  
14 decrement in cognitive performance (spatial span test) in the hot/no-cooling trial (peak  
15  $T_c$ :  $\sim 38.8^\circ\text{C}$ ) compared to control/no-cooling (peak  $T_c$ :  $\sim 37.1^\circ\text{C}$ ). Conversely, a  
16 significant improvement in cognitive performance was associated with hot/cooling (peak  
17  $T_c$ :  $\sim 38^\circ\text{C}$ ) compared to the hot/no-cooling trial, but not when compared to control/no-  
18 cooling trial. Gaoua et al. (2011) also reported that cooling resulted in lower  $T_h$  values  
19 compared to hot/no-cooling condition by  $1.9 \pm 0.4^\circ\text{C}$ . In the current study, the difference  
20 reported in  $T_h$  values after cooling was similar to those reported by Racinais et al. (2008)  
21 and Gaoua et al. (2011), in the range of  $\sim 1.9$ -  $\sim 2.1^\circ\text{C}$ . These results suggest that head  
22 cooling is effective in decreasing  $T_h$ .

1           As noted earlier, no differences were seen in cognitive performance between the  
2 cooling and no-cooling trials within the present study during and following exercise in  
3 the heat. Differences in results between the current study and the aforementioned studies  
4 may relate to cooling being performed for longer and continuously throughout the  
5 exercise period (i.e., 60 min) by Racinais et al. (2008) and Gaoua et al. (2011) compared  
6 to only 15 min of cooling performed prior to exercise in the current study. Additionally,  
7 a greater body surface area was covered by the cooling modalities used in these studies  
8 that either separately or combined with continuous cooling throughout exercise/rest may  
9 have contributed to keeping participants'  $T_c$  below hyperthermic levels, compared to the  
10 hot/no-cooling trials where  $T_c$  was  $\sim 39.0^\circ\text{C}$ . These results compare to  $T_c$  values in the  
11 current study where  $T_c$  peaked at  $39.18 \pm 0.53^\circ\text{C}$  and  $39.83 \pm 0.20^\circ\text{C}$  in the control trial and  
12  $38.99 \pm 0.49^\circ\text{C}$  and  $39.64 \pm 0.40^\circ\text{C}$  in the head cooling trial (end of the first and second bout  
13 of exercise, respectively), with all these values well exceeding a hyperthermic level of  
14  $38.5^\circ\text{C}$ .

15           It is possible that a longer cooling period (involving precooling and mid-cooling)  
16 or a combination of wearing the cooling cap with another mode of cooling (e.g., neck  
17 cooling that specifically targets cerebral blood flow or ingestion of an ice slushy) may  
18 have resulted in significant differences in  $T_c$  (as well as in  $T_h$ ,  $T_{sk}$  and heart-rate) and  
19 hence OSPAN scores between the cooling and no-cooling trials here. Importantly, Levels  
20 et al. (2013) reported that  $T_c$  was significantly decreased when mixed cooling (ice  
21 ingestion and scalp cooling) was employed compared to scalp cooling alone. Another  
22 consideration is that cooling may have reduced  $T_h$  for a longer period of time if the cap  
23 had been worn on a shaved head, as this would have improved the conduction properties  
24 of this process. Thick hair has been reported to increase the insulation capacity of the

1 skull, thus restricting heat removal (Shin et al., 2015), while Cabanac and Brinnet (1988)  
2 reported a three times higher evaporation rate associated with bald scalps compared to  
3 hairy scalps.

4         This research has a number of limitations. Firstly, thermal sensation was not  
5 assessed during these trials. It is possible that feeling cooler may impact/improve  
6 complex cognitive function when undertaken in the heat. It has previously been reported  
7 that an increase in thermal sensation may lead to an increased RPE (Pandolf et al., 1978).  
8 In respect to the current study, RPE was assessed with no significant differences found  
9 between trials. Furthermore, as noted earlier, it would be advantageous to shave the head  
10 prior to wearing the head-cooling cap as this would result in better conduction between  
11 the cap and the head, which in turn may have a more profound effect on  $T_h$  and  $T_c$ . While  
12 some individuals may not wish to do this, it is probable that those competing at an elite  
13 level are more likely to comply with this suggestion. Finally, future research should  
14 consider cooling participants in the heat chamber, as this more closely mimics real-world  
15 scenarios where athletes participate in outdoor events and often do not have access to air-  
16 conditioned rooms (e.g., cyclists). Also, the wearing of the cooling cap during exercise  
17 should be explored.

18

## 19 **CONCLUSION**

20         While head cooling significantly reduced  $T_h$  immediately after cooling compared  
21 to baseline values, as well as between trials, this did not result in significant changes in  
22  $T_c$  or OSPAN performance between trials. A longer cooling duration that uses a  
23 combination of cooling modalities (e.g., head cooling and neck cooling or ice slushy

1 ingestion) where the head is shaved may result in significant outcomes in the variables  
2 measured here. This approach should be considered for future studies.

3

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7

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9

**Key points**

- Wearing a cooling cap for 15 min significantly reduced forehead temperature compared to baseline, as well as compared to a no-cooling control.
- Despite forehead temperature being significantly lower after cooling, forehead temperatures were similar between the cooling and no-cooling trials during exercise in a hot environmental chamber.
- Cooling the forehead prior to exercise in the heat did not improve subsequent cognitive performance assessed midway and after exercise.

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**Figure 1:** The Arctic Heat head-cooling cap. The cap is filled with crystallize beads that can be activated with water before usage.

**Table 1** Absolute score for the automated operation span test of participants in experimental trials (n=10). The <sup>a</sup> indicates moderate ES for HC over time ( $d=0.51$ ).

<b>Time</b>	<b>Control</b>	<b>Head cooling<sup>a</sup></b>
<i>OSPAN</i>		
Before	60 ± 14.29	60.2 ± 8.49
First half	59.7 ± 13.57	62.3 ± 8.19
Second half	60.4 ± 13.13	63.9 ± 5.85

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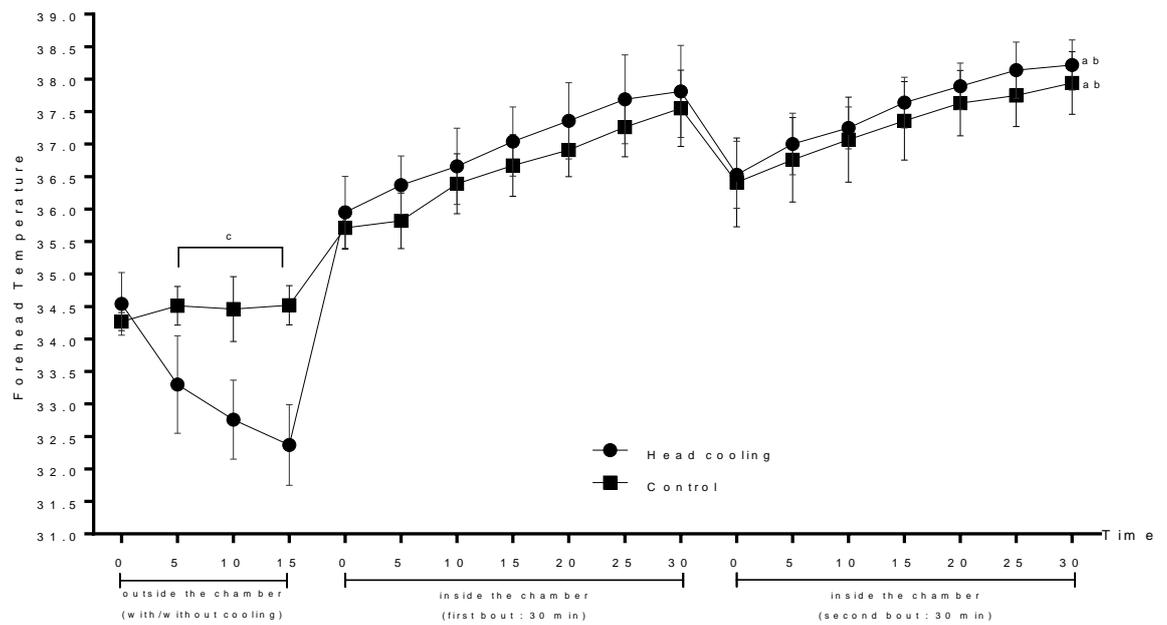
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**Figure 2** Forehead temperature (°C) of participants in experimental trials. Mean forehead temperature was lowered in HC trial than CON trial during the precooling session (n=10). The <sup>a</sup> indicates a significant main effect for time for both trials (p<0.001). The <sup>b</sup> indicates large effect size (HC  $d=8.41.71$ , CON  $d=10.38$ ) for both trials over time. The <sup>c</sup> indicates a large effect size between trials during cooling ( $d=2.12 - 4.4$ ).

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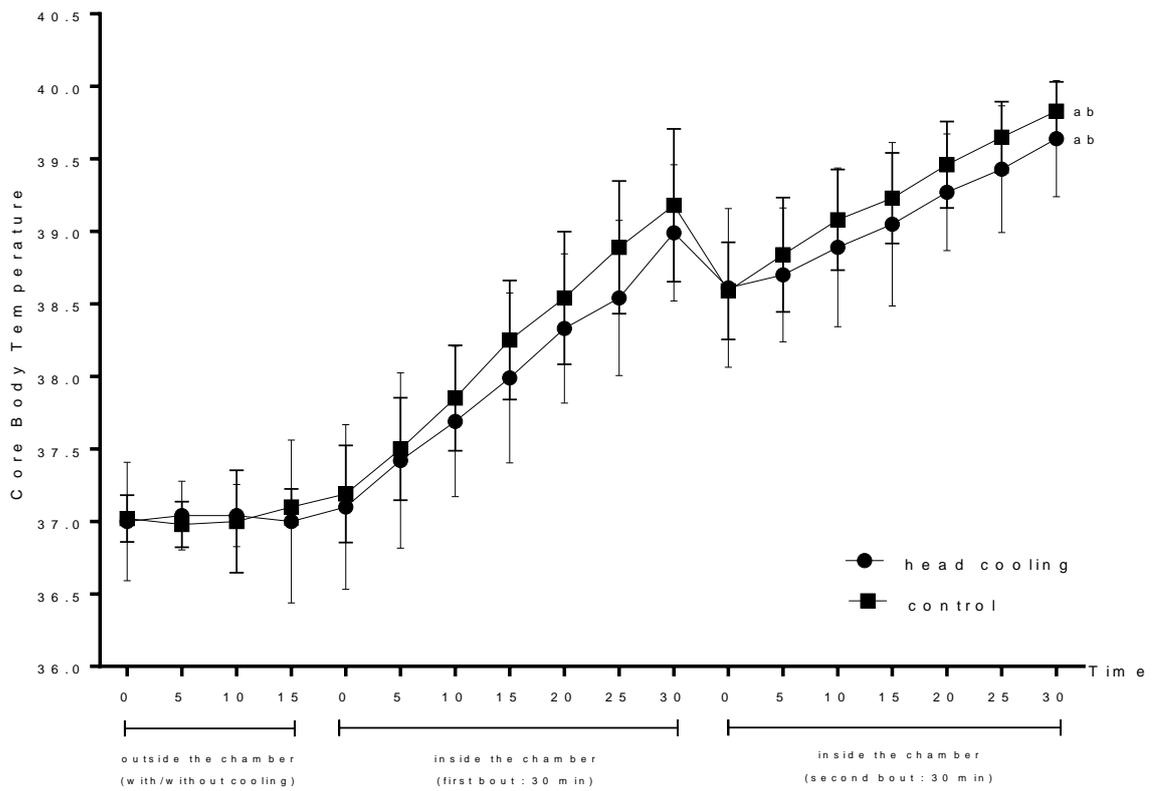
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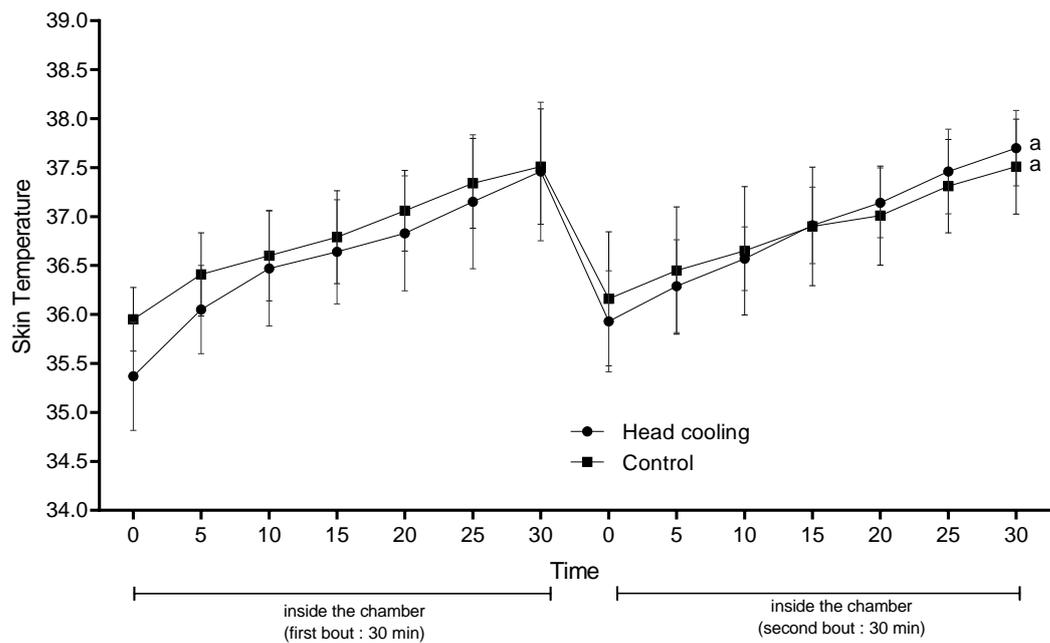
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**Figure 3** Core body temperature (°C) of participants during the control and cooling trials (n=10). The <sup>a</sup> indicates a significant main effect for time for both trials (p=0.01). The <sup>b</sup> indicates large effect sizes over time for both CON and HC ( $d=15.30, 6.52$ ).



**Figure 4** Skin temperature (°C) of participants during the control and cooling conditions (n=10). The <sup>a</sup> indicates large effect size for both trials over time (CON:  $d=3.79$  and HC:  $d=4.89$ ).

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